

# THE AUTOMORPHISM GROUP OF A HOMOGENEOUS ALMOST COMPLEX MANIFOLD <sup>(1)</sup>

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**1. Introduction.** Let  $M$  be a compact simply connected manifold of nonzero Euler characteristic that carries a homogeneous almost complex structure. We determine the largest connected group  $A_0(M)$  of almost analytic automorphisms of  $M$ .

Our hypotheses represent  $M$  as a coset space  $G/K$  where  $G$  is a maximal compact subgroup of the Lie group  $A_0(M)$  and  $K$  is a closed connected subgroup of maximal rank in  $G$ . In §2 we collect some information, decomposing  $M = M_1 \times \cdots \times M_t$  as a product of "irreducible" factors along the decomposition of  $G$  as a product of simple groups; then every invariant almost complex structure or riemannian metric decomposes and every invariant riemannian metric is hermitian relative to any invariant almost complex structure; furthermore the decomposition is independent of  $G$  in a certain sense. In §3 we choose an invariant riemannian metric and determine the largest connected groups  $H_0(M_i)$  of almost hermitian isometries of the  $M_i$ . Then  $A_0(M)$  is determined in §4. There it is shown that  $A_0(M) = A_0(M_1) \times \cdots \times A_0(M_t)$ , that  $A_0(M_i) = H_0(M_i)$  if the almost complex structure on  $M_i$  is not integrable, and that  $A_0(M_i) = H_0(M_i)^c$  if the almost complex structure on  $M_i$  is induced by a complex structure.  $A_0(M)$  thus is a centerless semisimple Lie group whose simple normal analytic subgroups are just the  $A_0(M_i)$ .

**2. Decomposition.** Let  $M$  be an effective coset space of a compact connected Lie group  $G$  by a connected subgroup  $K$  of maximal rank. In other words  $M = G/K$  is compact, simply connected and of nonzero Euler characteristic;  $G$  is a compact centerless semisimple Lie group,  $\text{rank } K = \text{rank } G$ , and  $K$  contains no simple factor of  $G$ . Then

$$(2.1a) \quad G = G_1 \times \cdots \times G_t, \quad K = K_1 \times \cdots \times K_t \quad \text{and} \quad M = M_1 \times \cdots \times M_t$$

where

$$(2.1b) \quad G_i \text{ is simple,} \quad K_i = K \cap G_i \quad \text{and} \quad M_i = G_i/K_i.$$

$G_i$  is a compact connected centerless simple Lie group,  $K_i$  is a connected subgroup of maximal rank, and  $M_i = G_i/K_i$  is a simply connected effective coset space of nonzero Euler characteristic. The decomposition of  $M$  is unique up to order of the factors because it is determined by the decomposition of  $G$ .

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We call (2.1) the *canonical decomposition* of the coset space  $M=G/K$ . The factors  $M_i=G_i/K_i$  are the *irreducible factors* of  $M=G/K$ . If there is just one irreducible factor, i.e. if  $G$  is simple, then we say that  $M=G/K$  is *irreducible*.

**2.2. PROPOSITION.** *Let  $M$  be an effective coset space  $G/K$  where  $G$  is a compact connected Lie group and  $K$  is a connected subgroup of maximal rank. Let  $M=M_1 \times \cdots \times M_t$  be the canonical decomposition into irreducible factors  $M_i=G_i/K_i$ .*

1. *The  $G$ -invariant almost complex structures  $J$  on  $M$  are just the  $J_1 \times \cdots \times J_t$  where  $J_i$  is a  $G_i$ -invariant almost complex structure on  $M_i$ .*

2. *The  $G$ -invariant riemannian metrics  $ds^2$  on  $M$  are just the  $ds_1^2 \times \cdots \times ds_t^2$  where  $ds_i^2$  is a  $G_i$ -invariant riemannian metric on  $M_i$ ; there each  $(M_i, ds_i^2)$  is an irreducible riemannian manifold, so*

$$(M, ds^2) = (M_1, ds_1^2) \times \cdots \times (M_t, ds_t^2)$$

*is the de Rham decomposition.*

3. *Let  $J$  be a  $G$ -invariant almost complex structure on  $M$ . If  $ds^2$  is a  $G$ -invariant riemannian metric, then it is the real part of a  $G$ -invariant almost hermitian (for  $J$ ) metric  $h$  on  $M$ , and  $h=h_1 \times \cdots \times h_t$  where  $h_i$  is a  $G_i$ -invariant almost hermitian (for  $J_i$ ) metric on  $M_i$  and  $ds_i^2$  is the real part of  $h_i$ .*

**Proof.** The Lie algebras decompose uniquely as direct sums  $\mathfrak{G}=\mathfrak{R}+\mathfrak{M}$  and  $\mathfrak{G}_i=\mathfrak{R}_i+\mathfrak{M}_i$ ,  $\mathfrak{R}=\sum \mathfrak{R}_i$  and  $\mathfrak{M}=\sum \mathfrak{M}_i$ , with  $[\mathfrak{R}, \mathfrak{M}] \subset \mathfrak{M}$  and  $[\mathfrak{R}_i, \mathfrak{M}_i] \subset \mathfrak{M}_i$ . Let  $Z$  be the center of  $K$ , so  $\mathfrak{R}$  is the centralizer of  $Z$  in  $\mathfrak{G}$ . Then  $Z=Z_1 \times \cdots \times Z_t$  where  $Z_i$  is the center of  $K_i$  and  $\mathfrak{R}_i$  is the centralizer of  $Z_i$  in  $\mathfrak{G}_i$ .

$\pi$  denotes the representation of  $K$  on  $\mathfrak{M}$  and  $\pi_i$  is the representation of  $K_i$  on  $\mathfrak{M}_i$ . Then  $\pi=\pi_1 \oplus \cdots \oplus \pi_t$ . Let  $X=X_1 \cup \cdots \cup X_t$  be the set of nontrivial characters on  $Z$  such that

$$(2.3a) \quad \mathfrak{M}^C = \sum_X \mathfrak{M}_X \quad \text{and} \quad \mathfrak{M}_i^C = \sum_{X_i} \mathfrak{M}_X$$

where  $Z$  acts on  $\mathfrak{M}_X$  by the character  $X$ . Each  $\mathfrak{M}_X$  is  $\text{ad}(K)$ -stable, so  $K$  acts on  $\mathfrak{M}_X$  by a representation  $\pi_X$ , and

$$(2.3b) \quad \pi^C = \sum_X \pi_X \quad \text{and} \quad \pi_i^C = \sum_{X_i} \pi_X.$$

The point [7, Theorem 8.13.3] is that

$$(2.3c) \quad \text{the } \pi_X \text{ are irreducible and mutually inequivalent.}$$

We transform the complex decomposition (2.3) to a real decomposition. Let  $X=S \cup T$ ,  $S=S_1 \cup \cdots \cup S_t$  and  $T=T_1 \cup \cdots \cup T_t$  where  $S_i$  consists of the nonreal characters in  $X_i$  and  $T_i$  consists of the real ones. By *real partition* of  $X$  we mean a disjoint  $X=S' \cup S'' \cup T$  where  $S''=\bar{S}'$ . If  $\chi \in S_i$  then  $\bar{\chi} \in S_i$ ; thus the real partition

induces real partitions  $X_i = S'_i \cup S''_i \cup T_i$ . If  $|S| = 2n$  then  $X$  has  $2^n$  real partitions. Now choose a real partition  $X = S' \cup S'' \cup T$  and define

$$\begin{aligned}\chi \in S': K \text{ acts on } \mathfrak{M}_\chi^R &= \mathfrak{M} \cap (\mathfrak{M}_\chi + \mathfrak{M}_{\bar{\chi}}) && \text{by } \pi_\chi^R \\ \chi \in T: K \text{ acts on } \mathfrak{M}_\chi^R &= \mathfrak{M} \cap \mathfrak{M}_\chi && \text{by } \pi_\chi^R.\end{aligned}$$

Then (2.3abc) becomes

$$(2.4a) \quad \mathfrak{M} = \sum_{S'} \mathfrak{M}_\chi^R + \sum_T \mathfrak{M}_\chi^R \quad \text{and} \quad \mathfrak{M}_i = \sum_{S'_i} \mathfrak{M}_\chi^R + \sum_{T_i} \mathfrak{M}_\chi^R,$$

$$(2.4b) \quad \pi = \sum_{S'} \pi_\chi^R + \sum_T \pi_\chi^R \quad \text{and} \quad \pi_i = \sum_{S'_i} \pi_\chi^R + \sum_{T_i} \pi_\chi^R,$$

$$(2.4c) \quad \text{the } \pi_\chi^R \text{ are real-irreducible and mutually inequivalent.}$$

Let  $A$  be the commuting algebra of  $\pi$  on  $\mathfrak{M}$ . By (2.4c),  $A = \sum_{S'} C + \sum_T R$ , for  $\pi_\chi^R$  has commuting algebra  $C$  if  $\chi \in S'$ ,  $R$  if  $\chi \in T$ . Invariant almost complex structures are in obvious correspondence with elements of square  $-I$  of the commuting algebra, which now are seen to exist if and only if  $T$  is empty, and (1) follows. Similarly, the decomposition of  $ds^2$  in (2), and the existence and decomposition of  $h$  in (3), are immediate.

It remains only to show the  $(M_i, ds_i^2)$  irreducible as riemannian manifolds in (2). That fact is known [3, §5.1], but in our present context we can give a short proof for the convenience of the reader. If  $(M_i, ds_i^2)$  reduces, then it is a riemannian product  $M' \times M''$  because it is complete and simply connected, so we have an  $\text{ad}(K_i)$ -stable decomposition  $\mathfrak{M}_i = \mathfrak{M}' \oplus \mathfrak{M}''$  with the properties

$$[\mathfrak{M}', \mathfrak{M}''] \subset \mathfrak{R}_i, \quad \mathfrak{M}'^C = \sum_{X'} \mathfrak{M}_\chi, \quad \mathfrak{M}''^C = \sum_{X''} \mathfrak{M}_\chi, \quad X_i = X' \cup X''.$$

Here  $X'$  and  $X''$  are disjoint and self conjugate. If  $\chi' \in X'$  and  $\chi'' \in X''$  with  $[\mathfrak{M}_{\chi'}, \mathfrak{M}_{\chi''}] \neq 0$ , then  $\chi' \chi'' = 1$  so  $\chi' = \bar{\chi}'' \in X''$  which is absurd. Thus  $[\mathfrak{M}', \mathfrak{M}''] = 0$ , and it follows that the simple Lie algebra  $\mathfrak{G}_i$  is direct sum of ideals

$$\mathfrak{G}' = \{\mathfrak{R}_i \cap [\mathfrak{M}', \mathfrak{M}']\} + \mathfrak{M}' \quad \text{and} \quad \mathfrak{G}'' = \{\mathfrak{R}_i \cap [\mathfrak{M}'', \mathfrak{M}'']\} + \mathfrak{M}''.$$

That being absurd, irreducibility is proved. Q.E.D.

2.5. **REMARK.** In the notation of the proof of Proposition 2.2,  $M$  has a  $G$ -invariant almost complex structure if and only if  $X = S$ , and then those structures  $J$  correspond to the real partitions  $X = S' \cup S''$  by:  $\sum_{S'} \mathfrak{M}_\chi$  and  $\sum_{S''} \mathfrak{M}_\chi$  are the  $\sqrt{-1}$  and  $-\sqrt{-1}$  eigenspaces of  $J$  on  $\mathfrak{M}^C$ .

3. **Almost hermitian isometries.** Let  $M$  be a manifold with an almost hermitian metric  $h$ . Then  $h = ds^2 + (-1)^{1/2} \omega$  where the riemannian metric  $ds^2$  is the real part of  $h$  and  $\omega(u, v) = ds^2(u, Jv)$  is the imaginary part; that determines the almost complex structure  $J$ . By *almost hermitian isometry* of  $(M, h)$  we mean a diffeomorphism that preserves  $h$ , i.e. that is a riemannian isometry of  $(M, ds^2)$  which preserves  $J$ .

Let  $I(M)$  denote the (Lie) group of all isometries of  $(M, ds^2)$ ,  $H(M)$  the closed subgroup consisting of those isometries that preserve  $J$ . Then  $H(M)$  is the (Lie) group of all almost hermitian isometries of  $(M, h)$ . In particular its identity component  $H_0(M)$  is an analytic subgroup of the identity component  $I_0(M)$  of  $I(M)$ . If  $(M, h) = (M_1, h_1) \times \cdots \times (M_t, h_t)$  hermitian product, then the de Rham decomposition says that  $I_0(M)$  preserves each noneuclidean factor, so those factors are stable under  $H_0(M)$ .

Let  $M = G/K$  as in Proposition 2.2. Let  $h$  be a  $G$ -invariant almost hermitian metric on  $M$ . The canonical decomposition induces  $(M, h) = (M_1, h_1) \times \cdots \times (M_t, h_t)$  hermitian product where each  $(M_i, ds_i^2)$ ,  $ds_i^2 = \text{Re } h_i$ , is an irreducible noneuclidean riemannian manifold. Thus  $H_0(M) = H_0(M_1) \times \cdots \times H_0(M_t)$ , and  $H(M)$  is generated by its subgroup  $H(M_1) \times \cdots \times H(M_t)$  and permutations of mutually isometric  $(M_i, h_i)$ ; so its determination is more or less reduced to the case where  $M = G/K$  is irreducible. There the result is

**3.1. PROPOSITION.** *Let  $M$  be an effective coset space  $G/K$  where  $G$  is a compact connected simple Lie group and  $K$  is a connected subgroup of maximal rank. Let  $h$  be a  $G$ -invariant almost hermitian metric on  $M$ , so  $M = H_0(M)/B$  where  $G \subset H_0(M)$  and  $B \cap G = K$ . If  $G \neq H_0(M)$ , then  $(M, h)$  is an irreducible hermitian symmetric space of compact type listed below.*

Case	$G$	$K$	$H_0(M)$	$B$	$(M, h)$
1	$G_2$	$U(2)$	$SO(7)$	$SO(5) \times SO(2)$	5-dimensional complex quadric
2	$Sp(r)/Z_2$	$Sp(r-1) \cdot U(1)$	$SU(2r)/Z_{2r}$	$U(2r-1)$	complex projective $(2r-1)$ -space
3	$SO(2r+1)$	$U(r)$	$SO(2r+2)/Z_2$	$U(r+1)/Z_2$	unitary structures on $\mathbb{R}^{2r+2}$
3'	$Spin(7)/Z_2$	$U(3)$	$SO(8)/Z_2$	$SO(6) \cdot SO(2)$	6-dimensional complex quadric

**REMARK 1.** In the exceptional cases above,  $K$  is not  $\mathbb{R}$ -irreducible on the tangent space, so  $M$  has another  $G$ -invariant almost hermitian metric for which  $G = H_0(M)$ .

**REMARK 2.** The proof is easily reduced to the case where  $B$  is the centralizer of a toral subgroup of  $H_0(M)$ , and then the result can be extracted from [2, Table 5] and the Bott-Borel-Weil Theorem. But here it is convenient to reduce the proof to some classifications of Oniščik [4].

**Proof.** As  $M$  has nonzero Euler characteristic,  $B$  has maximal rank in  $H_0(M)$ , so  $H_0(M)/B = G/K$  is one of the following entries in Oniščik's list [4, Table 7].

- (i)  $A_{2n-1}/A_{2n-2} \cdot T = C_n/C_{n-1} \cdot T$  (our Case 2),
- (ii)  $B_3/B_2 \cdot T = G_2/A_1 \cdot T$  (our Case 1),
- (iii)  $B_3/D_3 = G_2/A_2$  ( $B_3$  does not preserve  $J$  here),

(iv)  $D_{n+1}/A_n \cdot T = B_n/A_{n-1} \cdot T$  (our Case 3),

(v)  $D_4/D_3 \cdot T = B_3/A_2 \cdot T$  (our Case 3').

The assertions follow with the observation that  $H_0(M)/B$  is an irreducible hermitian symmetric coset space of compact type in each of the admissible cases. Q.E.D.

**4. Almost analytic automorphisms.** Let  $M$  be a manifold with almost complex structure  $J$ . By *almost analytic automorphism* of  $M$ , we mean a diffeomorphism of  $M$  which preserves  $J$ . The set of all such diffeomorphisms forms a group  $A(M)$ . If  $M$  is compact, then [1] in the compact-open topology,  $A(M)$  is a Lie transformation group of  $M$ . We denote its identity component by  $A_0(M)$ . If, further, we have an almost hermitian metric on  $M$ , then  $H(M)$  is a compact subgroup of  $A(M)$ . That will be our main tool in studying  $A(M)$ .

**4.1. THEOREM.** *Let  $M = G/K$  be a simply connected effective coset space of nonzero Euler characteristic where  $G$  is a compact connected Lie group. Let  $J$  be a  $G$ -invariant almost complex structure on  $M$ . Let  $M = M_1 \times \cdots \times M_t$  be the canonical decomposition into irreducible coset spaces, and decompose  $J = J_1 \times \cdots \times J_t$  where  $J_i$  is a  $G_i$ -invariant almost complex structure on  $M_i$ . Then*

1.  $A_0(M) = A_0(M_1) \times \cdots \times A_0(M_t)$ .
2.  $M$  has a  $G$ -invariant riemannian metric  $ds^2 = ds_1^2 \times \cdots \times ds_t^2$  for which  $H_0(M)$  is a maximal compact subgroup of  $A_0(M)$ .
3. If  $J_i$  is integrable then  $A_0(M_i) = H_0(M_i)^C$ . If  $J_i$  is not integrable then  $A_0(M) = H_0(M)$ .

**Proof.** For the second statement, enlarge  $G$  to a maximal compact subgroup  $H$  of  $A_0(M)$  and choose an  $H$ -invariant riemannian metric  $ds^2$  on  $M$ . Then  $ds^2 = ds_1^2 \times \cdots \times ds_t^2$  as required, by Proposition 2.2, and  $H = H_0(M)$  by construction.

We simplify notation for the proofs of the first and third statement by enlarging  $G$  to  $H_0(M)$  and writing  $A$  for  $A_0(M)$ . That does not change the canonical decomposition of  $M$ , for the latter is the de Rham decomposition for  $ds^2$  according to Proposition 2.2. Now  $G/K = M = A/B$  where  $G \subset A$  is a maximal compact subgroup and  $K = G \cap B$ .

We check that  $A$  is a centerless semisimple Lie group. If  $L$  is a closed normal analytic subgroup of  $A$  with  $G \cap L$  discrete, then  $G \cdot L \subset A$  is effective on

$$(G \cdot L)/(K \cdot L) = M, \text{ so } L = \{1\}.$$

Let  $L$  be the radical of  $A$ : now  $A$  is semisimple. Let  $\mathfrak{L}$  be the orthocomplement of  $\mathfrak{G}$  in a maximal compactly embedded subalgebra of  $\mathfrak{A}$ : now  $A$  has finite center, so the centerless group  $G$  contains the center of  $A$ , so  $A$  is centerless.

Let  $A^\alpha$ ,  $1 \leq \alpha \leq r$ , be the simple normal analytic subgroups of  $A$ . So  $A = A^1 \times \cdots \times A^r$  with  $A^\alpha$  centerless simple. Now  $G = G^1 \times \cdots \times G^r$ ,  $K = K^1 \times \cdots \times K^r$  and  $M = M^1 \times \cdots \times M^r$  where

$$G^\alpha = G \cap A^\alpha, \quad K^\alpha = K \cap G^\alpha, \quad M^\alpha = G^\alpha/K^\alpha.$$

If  $\alpha \neq \beta$  then  $A^\alpha$  acts trivially on  $M^\beta$ . For every  $a \in A^\alpha$  centralizes the transitive transformation group  $G^\beta$  of  $M^\beta$ , hence induces some transformation  $\bar{a}$  of  $M^\beta$  that is trivial or fixed point free. As  $A^\alpha$  is connected,  $\bar{a}$  is homotopic to 1 so its Lefschetz number is the (nonzero) Euler characteristic of  $M^\beta$ ; that shows  $\bar{a}=1$ . Now  $M^\alpha = A^\alpha/B^\alpha$ ,  $B^\alpha = B \cap A^\alpha$ , with  $B = B^1 \times \cdots \times B^r$ .

According to Oniščik [5, Table 1] the only possibilities for  $G^\alpha/K^\alpha = M^\alpha = A^\alpha/B^\alpha$ ,  $A^\alpha$  noncompact, are given in the following table.

$A^\alpha$	$M^\alpha = G^\alpha/K^\alpha$	Conditions
$SL(2n, \mathbf{R})/\mathbf{Z}_2$	$SO(2n)/SO(2n_1) \times \cdots \times SO(2n_p)$	$n = \sum n_i > 1$
$SL(2n+1, \mathbf{R})$	$SO(2n+1)/SO(2n_1) \times \cdots \times SO(2n_{p-1}) \times SO(2n_p+1)$	$n = \sum n_i$
$GL(n, \mathbf{Q})/\mathbf{Z}_2$	$Sp(n)/Sp(n_1) \times \cdots \times Sp(n_p) \times U(1)^q$	$n = q + \sum n_i$
$SO(1, 2n-1)/\mathbf{Z}_2$	$SO(2n-1)/SO(2n_1) \times \cdots \times SO(2n_p) \times U(m_1) \times \cdots \times U(m_q)$	$n-1 = \sum n_i + \sum m_j$
$E_{6,C_4}/\mathbf{Z}_2$	$Sp(4)/Sp(2) \times Sp(2)$ and $Sp(4)/[Sp(1)]^4$	none
$E_{6,F_4}$	$F_4/Spin(9)$ , $F_4/Spin(8)$ , $F_4/U(4)$ and $F_4/[SU(2)]^4$	none
$(G^\alpha)^C$	$G^\alpha/K^\alpha$ where $K^\alpha$ is the centralizer of a nontrivial toral subgroup of $G^\alpha$	$G^\alpha$ compact centerless simple

Note that  $G^\alpha$  is simple except in Case 1 with  $n=2$ . There  $M^\alpha$  is the product of two Riemann spheres, so  $A^\alpha$  is the product of two copies of  $SL(2, \mathbf{C})/\mathbf{Z}_2$ , contradicting the table entry for  $A^\alpha$ . Thus we always have  $G^\alpha$  simple, so each  $M^\alpha$  is an  $M_i$ , and the first statement of our theorem is proved with  $A^\alpha = A_0(M^\alpha)$ .

Now we may, and do, assume  $M$  irreducible. Thus  $A$  and  $G$  are simple.

**4.2. LEMMA.** *The invariant almost complex structure  $J$  is integrable if and only if  $A = G^C$ . In that case  $B$  is a complex parabolic subgroup of  $A$  and  $J$  is induced either from the natural complex structure on  $A/B$  or from the conjugate structure.*

**Proof of lemma.** Let  $J$  be integrable; we check  $\mathfrak{G}^C \subset \mathfrak{A}$ . For if  $\xi \in \mathfrak{G}$  and  $\xi^*$  denotes the holomorphic vector field induced on  $M$ , then  $J(\xi^*)$  is holomorphic. Thus  $\mathfrak{G}^C$  acts on  $M$  by  $\xi + i\eta \rightarrow \xi^* + J(\eta^*)$ , and this action integrates to  $G^C$  because  $M$  is compact; that shows  $G^C \subset A$  so  $\mathfrak{G}^C \subset \mathfrak{A}$ .

Let  $\mathfrak{A} = \mathfrak{G}^C$ . As  $\mathfrak{R}$  is its own normalizer in  $\mathfrak{G}$  because it has maximal rank,  $\mathfrak{B}$  is its own normalizer in  $\mathfrak{A}$ , so  $B$  is an  $\mathbf{R}$ -algebraic subgroup of  $A$ . Thus  $A$  has an Iwasawa decomposition  $GSN$  with  $B = KSN$ . As  $\mathfrak{A} = \mathfrak{G}^C$ , the group  $S^C$  is a complex Cartan subgroup of  $A$ , so  $N$  is a complex unipotent subgroup. Now  $K^C S^C N$  is the complex group generated by  $B$  and it has intersection  $K$  with  $G$ ; thus  $M = A/B \rightarrow A/K^C S^C N = G/K$  is trivial so  $B$  is a complex subgroup of  $A$ . As  $A/B$  is compact now  $B$  is a complex parabolic subgroup.

Decompose  $B = B^r \cdot B^u$  into reductive and unipotent parts. Let  $Z$  be the identity component of the center of  $B^r$ , complex subtorus of  $S^C$ . Let  $D$  be the set of characters  $\chi \neq 1$  on  $Z$  that are restrictions of positive roots, so  $\mathfrak{B}^u = \sum_D \mathfrak{A}_\chi$ . Define  $\mathfrak{B}^{-u} = \sum_D \mathfrak{A}_{-\chi}$  so that  $\mathfrak{A}$  is the direct sum of its subspaces  $\mathfrak{B}^r$ ,  $\mathfrak{B}^u$  and  $\mathfrak{B}^{-u}$ .  $\mathfrak{G} \cap (\mathfrak{B}^u + \mathfrak{B}^{-u})$  represents the real tangent space of  $M$ , and  $\mathfrak{B}^u + \mathfrak{B}^{-u}$  represents the complexified tangent space. If  $\pm \chi \in D$ , then  $\mathfrak{A}_\chi$  is an irreducible representation space of  $B^r$ , so  $J$  acts on  $\mathfrak{A}_\chi$  either as  $\sqrt{-1}$  or as  $-\sqrt{-1}$ . Let  $\mathfrak{Q}^+$  (resp.  $\mathfrak{Q}^-$ ) denote the image in  $\mathfrak{A}/\mathfrak{B}$  of the  $\mathfrak{A}_\chi$ ,  $-\chi \in D$ , on which  $J$  acts as  $\sqrt{-1}$  (resp.  $-\sqrt{-1}$ ). Then  $\text{ad}(\mathfrak{B}) \cdot \mathfrak{Q}^\pm \subset \mathfrak{Q}^\pm$  by invariance of  $J$  under  $B$ . If  $\nu$  is the restriction to  $Z$  of the highest root, then  $\mathfrak{A}/\mathfrak{B} = \sum_{n \geq 0} \text{ad}(\mathfrak{B})^n \cdot (\mathfrak{A}_{-\nu} \bmod \mathfrak{B})$ , because  $\mathfrak{A}$  is simple, so  $\mathfrak{A}/\mathfrak{B}$  is the one of  $\mathfrak{Q}^+$  or  $\mathfrak{Q}^-$  into which  $\mathfrak{A}_{-\nu}$  maps. Thus either  $J$  acts on  $\mathfrak{B}^{-u}$  as  $\sqrt{-1}$  and the natural complex structure of  $A/B$  induces  $J$ , or  $J$  acts on  $\mathfrak{B}^{-u}$  as  $-\sqrt{-1}$  and the natural structure induces  $-J$ . In either case  $J$  is integrable.

In general suppose  $\mathfrak{G}^C \subset \mathfrak{A}$ . Then  $M = G^C/B \cap G^C$  is a complex flag manifold on which  $A$  is the largest connected group of analytic automorphisms. Thus  $A$  is a centerless complex semisimple group, hence the complexification of its maximal compact subgroup  $G$ .

Lemma 4.2 is proved.

4.3. LEMMA. *If  $B^C$  is parabolic in  $A^C$ , then  $J$  is integrable so  $A = G^C$ .*

**Proof of lemma.**  $J$  is an element of square  $-I$  in the commuting algebra of  $\text{ad}(\mathfrak{B})$  on  $\mathfrak{A}/\mathfrak{B}$ . Thus it induces an element  $J^C$  of square  $-I$  in the commuting algebra of  $\text{ad}(\mathfrak{B}^C)$  on  $\mathfrak{A}^C/\mathfrak{B}^C$ . Now suppose  $B^C$  parabolic in  $A^C$ , so  $M^C = A^C/B^C$  is compact and of positive Euler characteristic with invariant almost complex structure  $J^C$ .

If  $A$  is complex then  $A = G^C$  and Lemma 4.2 says that  $J$  is integrable. Thus we may assume  $A$  not complex so that  $A^C$  is simple. Then Lemma 4.2 says that  $J^C$  is integrable, and in fact that either  $J^C$  or  $-J^C$  is induced by the natural complex structure on  $A^C/B^C$ . Replace  $J$  by  $-J$  if necessary; that does not alter integrability of  $J$ , but it replaces  $J^C$  by  $-J^C$ , allowing us to assume  $J^C$  induced by the natural complex structure of  $A^C/B^C$ .

Decompose  $B = B^r \cdot B^u$  into reductive and unipotent parts, so  $\mathfrak{B} = \mathfrak{B}^r + \mathfrak{B}^u$  and  $\mathfrak{A} = \mathfrak{B} + \mathfrak{B}^{-u}$  where  $\mathfrak{B}^{\pm u}$  are subalgebras normalized by  $\mathfrak{B}^r$ . Let  $\mathfrak{B}^{-u}$  represent the real tangent space to  $M$ . Note that  $J^C$  acts on  $(\mathfrak{B}^{-u})^C$  as  $\sqrt{-1}$ . That contradicts our arrangement that the action of  $J^C$  on  $(\mathfrak{B}^{-u})^C$  is induced by the action of  $J$  on  $\mathfrak{B}^{-u}$ . Thus  $A$  cannot be noncomplex. Lemma 4.3 is proved.

We complete the proof of Theorem 4.1. As in the second paragraph of the proof of Lemma 4.2,  $B$  is a real algebraic subgroup of  $A$ , so there is a semidirect product decomposition  $B = B^r \cdot B^u$  into reductive and unipotent parts. If  $\text{rank } B^r < \text{rank } A$ , then any Cartan subalgebra of  $\mathfrak{A}$  has an element  $\xi$  not contained in any isotropy subalgebra of  $\mathfrak{A}$  on  $M$  so it induces a nonvanishing vector field  $\xi^*$  on  $M$ . The

existence of a nonvanishing vector field  $\xi^*$  says that  $M$  has Euler characteristic zero. That contradiction proves  $\text{rank } B^r = \text{rank } A$ .

Let  $\sigma$  be the Cartan involution of  $\mathfrak{A}$  with fixed point set  $\mathfrak{G}$  and let  $\mathfrak{A} = \mathfrak{G} + \mathfrak{P}$  be the Cartan decomposition. We may assume  $\sigma(\mathfrak{B}^r) = \mathfrak{B}^r$ , so  $\mathfrak{B}^r = \mathfrak{K} + (\mathfrak{P} \cap \mathfrak{B}^r)$ . That gives compact real forms

$$\mathfrak{A}_c = \mathfrak{G} + \sqrt{-1} \mathfrak{P} \quad \text{and} \quad \mathfrak{B}_c^r = \mathfrak{K} + \sqrt{-1} (\mathfrak{P} \cap \mathfrak{B}^r).$$

Let  $A_c$  denote the centerless group with Lie algebra  $\mathfrak{A}_c$  and let  $B_c^r$  be the analytic subgroup for  $\mathfrak{B}_c^r$ . Then  $\text{rank } B_c^r = \text{rank } B^r = \text{rank } A = \text{rank } A_c$  tells us that  $X = A_c/B_c^r$  is a compact simply connected manifold of positive Euler characteristic. If  $A = G$  then  $B = B^r = K$ , so  $A_c = G$  and  $B_c^r = K$ , whence  $X = M$ .

As in the second paragraph of the proof of Lemma 4.2 we have Iwasawa decompositions  $A = GSN$  and  $B = KSN$ . Choose a torus subgroup  $T \subset K$  such that  $H = T \times S \subset B^r$  is a Cartan subgroup of  $A$ . Let  $\Delta$  be the root system. Now  $\Delta = D \cup E \cup -E$  disjoint, and  $\mathfrak{A} = \mathfrak{B}^r + \mathfrak{B}^u + \mathfrak{B}^{-u}$  direct, where

$$\mathfrak{B}^r = \mathfrak{H} + \mathfrak{A} \cap \left\{ \sum_D \mathfrak{A}_\phi \right\}, \quad \mathfrak{B}^u = \mathfrak{A} \cap \left\{ \sum_E \mathfrak{A}_\phi \right\}, \quad B^{-u} = \mathfrak{A} \cap \left\{ \sum_{-E} \mathfrak{A}_{-\phi} \right\}.$$

Observe that  $\sigma$  interchanges  $\mathfrak{B}^u$  and  $\mathfrak{B}^{-u}$ . For  $\mathfrak{B}^u \subset N$  because  $N = N' \cdot B^u$  where  $B^r = KSN'$ , and the dual space of  $\mathfrak{S}$  has an ordering such that

$$\mathfrak{N}^C = \sum_{\phi|_{\mathfrak{S}} > 0} \mathfrak{A}_\phi, \quad \text{and} \quad \phi|_{\mathfrak{S}} > 0 \quad \text{iff} \quad \sigma\phi|_{\mathfrak{S}} < 0.$$

View the invariant almost complex structure  $J$  of  $M$  as an element of square  $-I$  in the commuting algebra of  $\text{ad } (\mathfrak{B})$  on  $\mathfrak{A}/\mathfrak{B}$ , hence in the commuting algebra of  $\text{ad } (\mathfrak{B}^r)$  on  $\mathfrak{B}^{-u} \simeq \mathfrak{A}/\mathfrak{B}$ ; then extend  $J$  to an element  $J'$  of square  $-I$  in the commuting algebra of  $\text{ad } (\mathfrak{B}^r)$  on  $\mathfrak{B}^u + \mathfrak{B}^{-u}$  by the formula

$$J'(\xi + \eta) = \sigma J(\sigma\xi) + J(\eta) \quad \text{where } \xi \in \mathfrak{B}^u, \eta \in \mathfrak{B}^{-u}.$$

Now  $J'$  is an  $A$ -invariant  $\sigma$ -invariant almost complex structure on  $A/B^r$ , so [6, Proposition 7.7] it defines an  $A_c$ -invariant  $\sigma$ -invariant almost complex structure on  $A_c/B_c^r$ . We have proved that  $X = A_c/B_c^r$  has an invariant almost complex structure.

Suppose  $A \neq G$ . Note that [6, Theorem 4.10] eliminates lines 5 and 6 of the Oniščik table above, so either  $A = G^C$  or  $A$  is absolutely simple and of classical type. Suppose  $A \neq G^C$  so  $A_c$  is simple and of classical type. Then [6, Theorem 4.10] shows that  $B_c^r$  is the centralizer of a torus in  $A_c$ . Let  $\mathfrak{Z}_c$  denote the center of  $\mathfrak{B}_c^r$ . Then  $\sigma(\mathfrak{B}_c^r) = \mathfrak{B}_c^r$  implies  $\sigma(\mathfrak{Z}_c) = \mathfrak{Z}_c$ , so  $\mathfrak{Z}_c = \mathfrak{U} + (-1)^{1/2} \mathfrak{B}$  with  $\mathfrak{U} \subset \mathfrak{K}$  and  $\mathfrak{B} \subset \mathfrak{P} \cap \mathfrak{B}^r$ . Now  $\mathfrak{B}^r$  has center  $\mathfrak{Z} = \mathfrak{U} + \mathfrak{B} \subset \mathfrak{Z} + \mathfrak{S} = \mathfrak{H}$ , and  $\mathfrak{B}^r$  is the centralizer of  $\mathfrak{Z}$  in  $\mathfrak{A}$ . We order the root system  $\Delta$  so that a root  $\phi > 0$  whenever  $\phi|_{\mathfrak{Z}} \neq 0$  and  $\phi|_{\mathfrak{S}} > 0$ . Then  $\mathfrak{B}^C$  contains the Borel subalgebra  $\mathfrak{H}^C + \sum_{\phi > 0} \mathfrak{A}_\phi$  of  $\mathfrak{A}^C$  for that ordering, so  $\mathfrak{B}^C$  is a parabolic subalgebra of  $\mathfrak{A}^C$ . Then Lemma 4.3 says  $A = G^C$ . We have proved that  $A \neq G$  implies  $A = G^C$ .



If  $J$  is integrable then Lemma 4.2 says  $A = G^C$ . If  $J$  is not integrable then Lemma 4.2 says  $A \neq G^C$ , so we cannot have  $A \neq G$ , and that forces  $A = G$ . Theorem 4.1 is proved. Q.E.D.

4.3. REMARK. Theorem 4.1 extends the scope of [8, Theorem 17.4(3)], but that result remains incomplete because, as remarked at the end of [8, §17], it is not known whether

$$A_0(E_6/\text{ad } SU(3)) \text{ is } E_6 \text{ rather than } E_6^C$$

or whether

$$A_0(SO(n^2-1)/\text{ad } SU(n)) \text{ is } SO(n^2-1) \text{ rather than } SO(n^2-1, C), \quad SL(n^2-1, R), \quad \text{or} \quad SO(1, n^2-1).$$

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